

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Sturm et al.  
Title: CASH-SETTLED  
COMMODITY FUTURES  
CONTRACTS  
Appl. No.: To Be Assigned  
Filing Date: 13 January 2004

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

EL96241765245 1/13/04  
(Express Mail Label Number) (Date of Deposit)

JANE HEROLD  
(Printed Name)

*Jane Herold*  
(Signature)

**UTILITY PATENT APPLICATION**  
**TRANSMITTAL**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

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☐ Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ Specification, Claim(s), and Abstract (28 pages).
- ☐ Informal drawings (0 sheets, Figures 0).
- ☒ Declaration and Power of Attorney (4 pages).
- ☒ Assignment of the invention to Chicago Board of Trade.
- ☒ Assignment Recordation Cover Sheet.
- ☒ Check in the amount of \$40.00 for Assignment recordation.
- ☒ Application Data Sheet (37 CFR 1.76).
- ☐ Claim for Convention Priority.

The filing fee is calculated below:

|   | Claims<br>as Filed |   | Included<br>in<br>Basic<br>Fee |   | Extra<br>Claims |   | Rate              |   | Fee<br>Totals |
|---|--------------------|---|--------------------------------|---|-----------------|---|-------------------|---|---------------|
| Basic Fee   |                    |   |                                |   |                 |   | \$770.00          |   | \$770.00      |
| Total   | 49                 | - | 20                             | = | 29              | x | \$18.00           | = | \$522.00      |
| Claims:   |                    |   |                                |   |                 |   |                   |   |               |
| Independ  | 8                  | - | 3                              | = | 5               | x | \$86.00           | = | \$430.00      |
| ents:   |                    |   |                                |   |                 |   |                   |   |               |
| If any Multiple Dependent Claim(s) present:                               |                    |   |                                |   |                 | + | \$290.00          | = | \$0.00        |
|   |                    |   |                                |   |                 |   | SUBTOTAL:         | = | \$1722.00     |
| <input type="checkbox"/> Small Entity Fees Apply (subtract 1/2 of above): |                    |   |                                |   |                 |   |                   | = | \$0.00        |
|   |                    |   |                                |   |                 |   | TOTAL FILING FEE: | = | \$1,722.00    |

- ☒ A check in the amount of \$1,722.00 to cover the filing fee is enclosed.
- ☐ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.

- [ X ] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1450. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1450.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 13 Jan 2004

By 

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